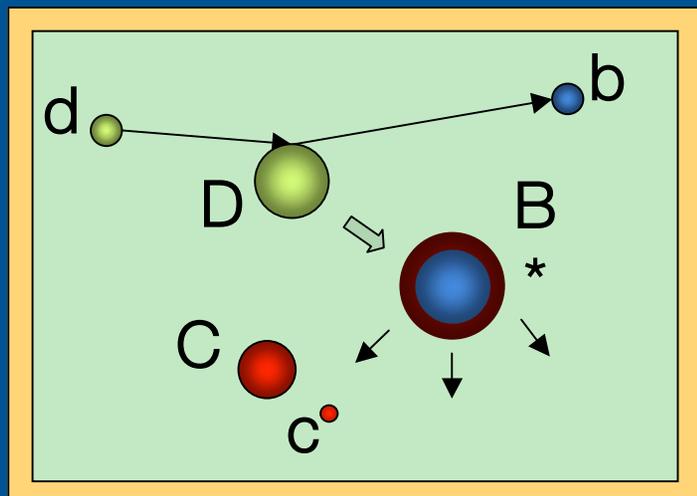


Compound-Nuclear Reaction Cross Sections from Surrogate Measurements: A Theorist's Perspective

Jutta Escher
Nuclear Theory & Modeling
Lawrence Livermore National Lab



International Workshop on
Compound-Nuclear Reactions
And Related Topics

(CNR* 2007)

Fish Camp, CA, October 22 - 26, 2007

This work was carried out under the auspices of the U.S. Department of Energy by Lawrence Livermore National Laboratory in part under contract W-7405-Eng-48 and in part under contract DE-AC52-07NA27344.

Outline

- 1. The Surrogate idea**
- 2. Approximation schemes**
- 3. Testing the assumptions**
- 4. The importance of spin -
or: the need to move beyond
current approximations**
- 5. Surrogate approach for (n,γ) ?**
- 6. Challenges for theory**
- 7. Summary**

Collaborators

Theory:

F.S. Dietrich, V. Gueorguiev, R. Hoffman,
I. Thompson (*LLNL*)

C. Forssén (*Chalmers University*)

Experiment:

L. Ahle, D. Bleuel, J. Burke, L.A.
Bernstein, J.A. Church, S. Leshner, B.F.
Lyles, N. Scielzo (*LLNL*)

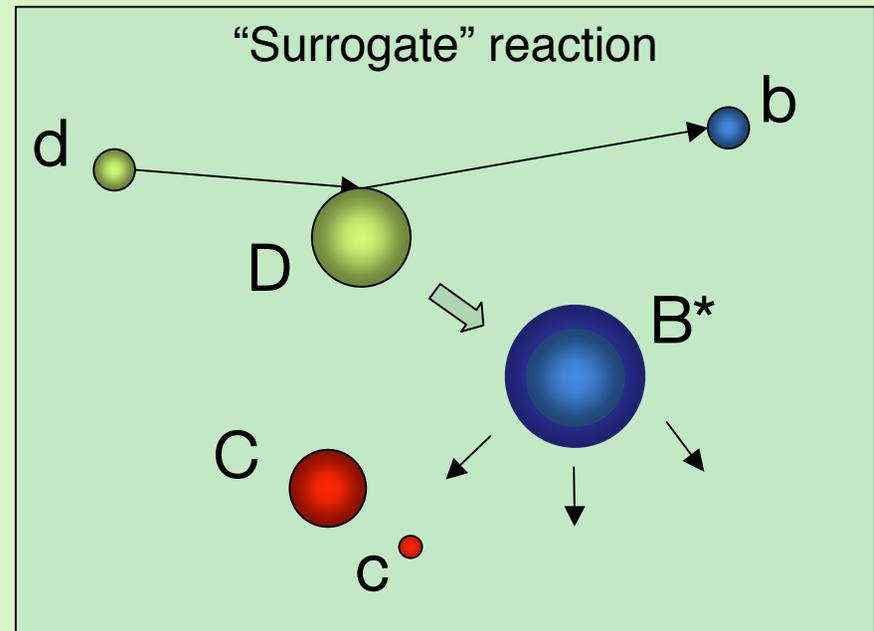
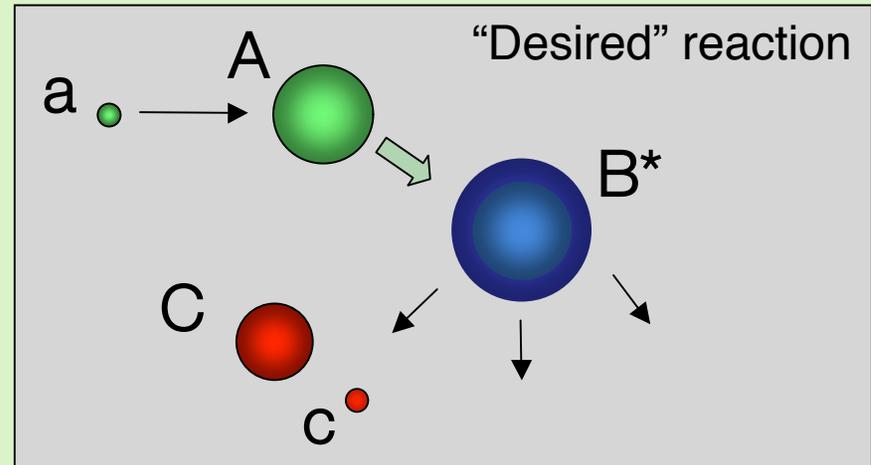
S. Basunia, R.M. Clark, P. Fallon, I.Y.
Lee, A.O. Macchiavelli, M.A. McMahan,
L.W. Phair, E. Rodriguez-Vieitez , et al.
(*LBNL*)

H. Ai, C. Beausang, B. Crider
(*Yale/University of Richmond*)

R. Hatarik, J. Cizewski, et al.
(*Rutgers/ORNL*)

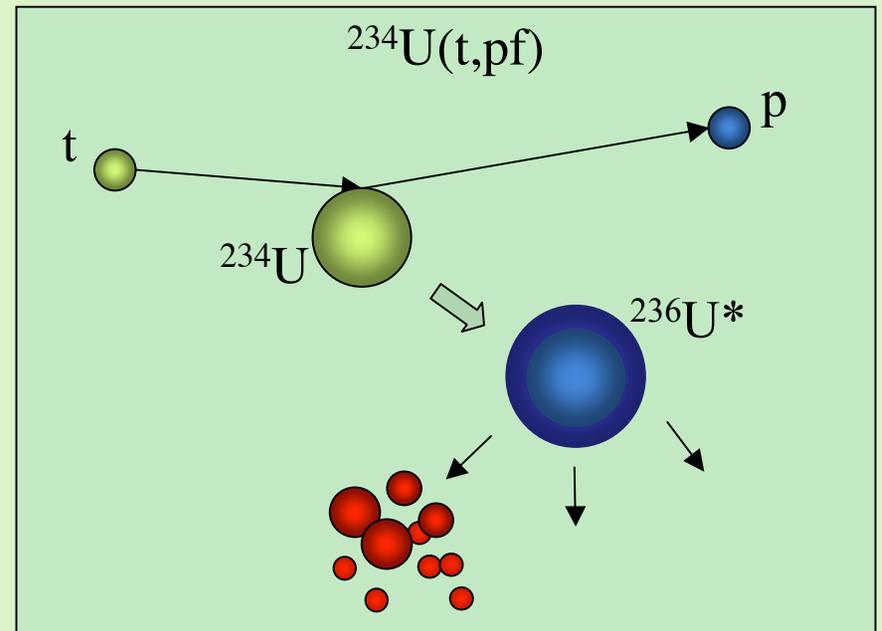
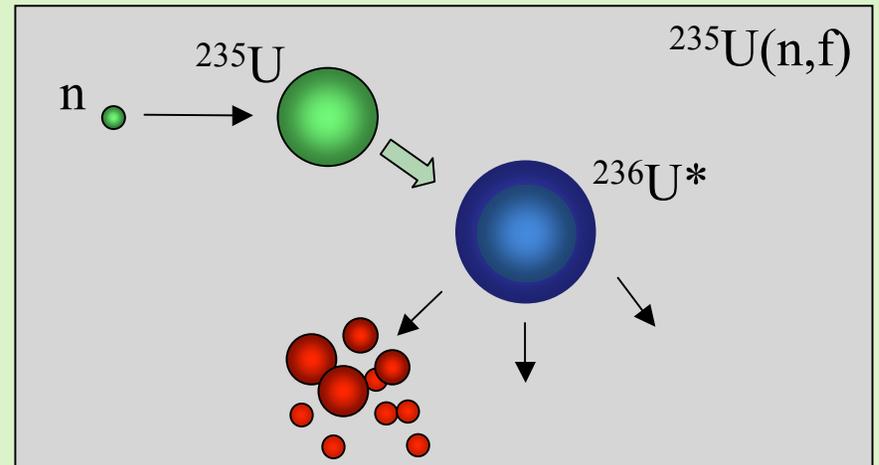
The Surrogate Idea

The Surrogate Nuclear Reactions approach is an indirect method for determining cross sections of compound-nuclear reactions that are difficult/impossible to measure directly.



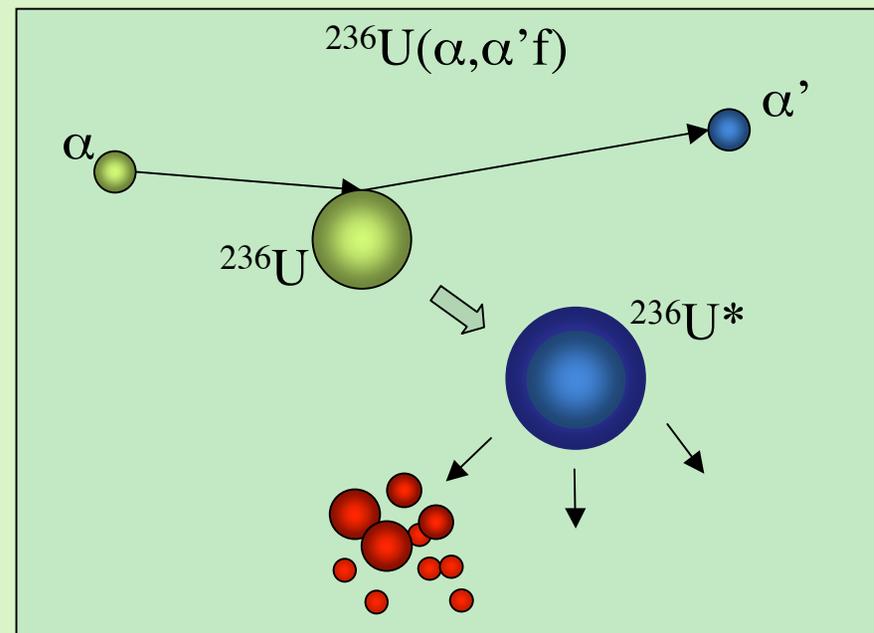
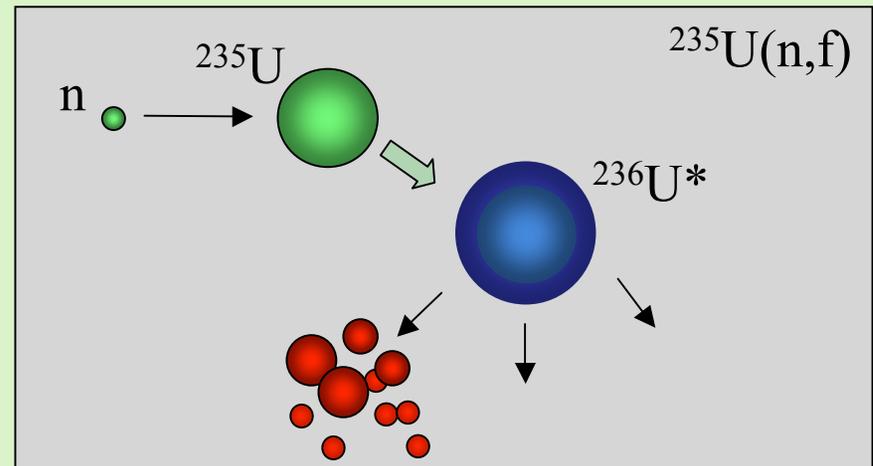
The Surrogate Idea

Various direct-reaction mechanisms can be employed to create the compound nucleus of interest.



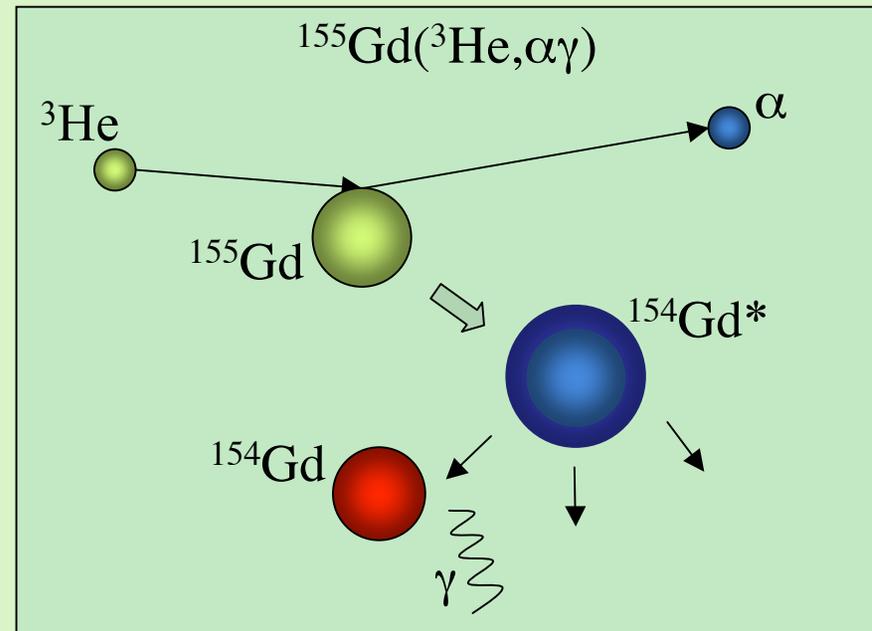
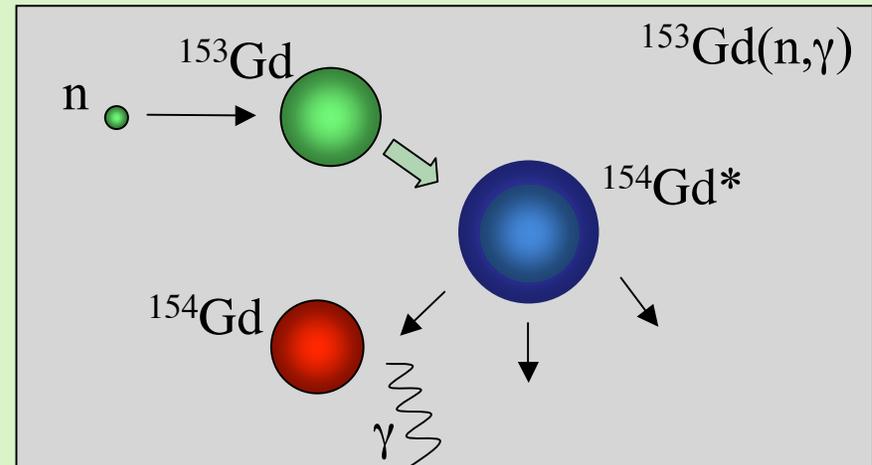
The Surrogate Idea

Various direct-reaction mechanisms can be employed to create the compound nucleus of interest.



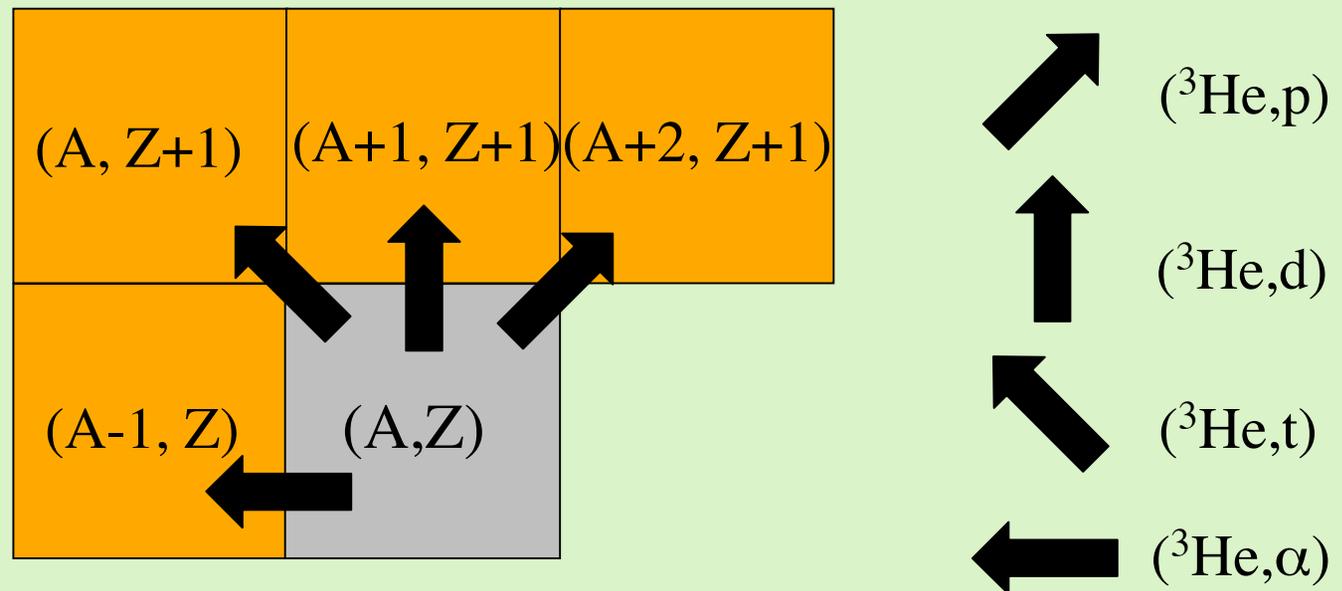
The Surrogate Idea

Different compound-nuclear decays can be considered.



The Surrogate Idea

One experiment can be used to determine several cross sections.



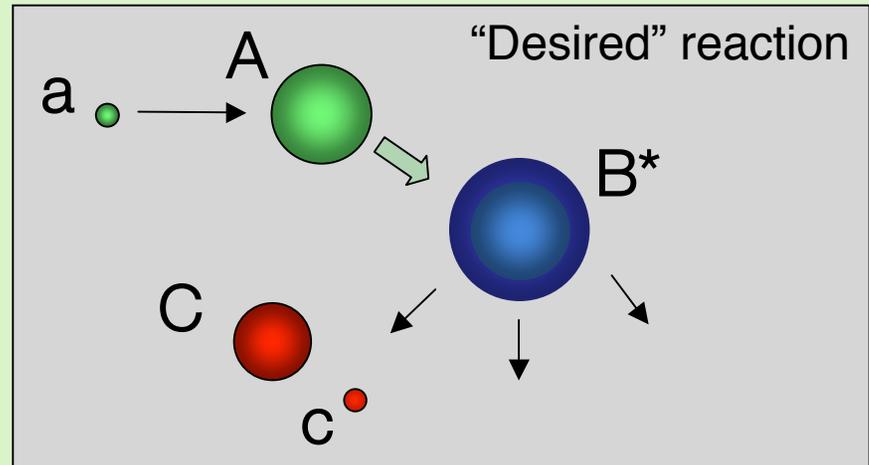
The Surrogate Idea - Formalism

Hauser-Feshbach (HF) theory describes the “desired” CN reaction

$$\sigma_{\alpha\chi} = \sum_{J,\pi} \sigma_{\alpha}^{\text{CN}}(E,J,\pi) \cdot G_{\chi}^{\text{CN}}(E,J,\pi)$$

The issue:

- $\sigma_{\alpha}^{\text{CN}}$ can be calculated
- G_{χ}^{CN} are difficult to predict



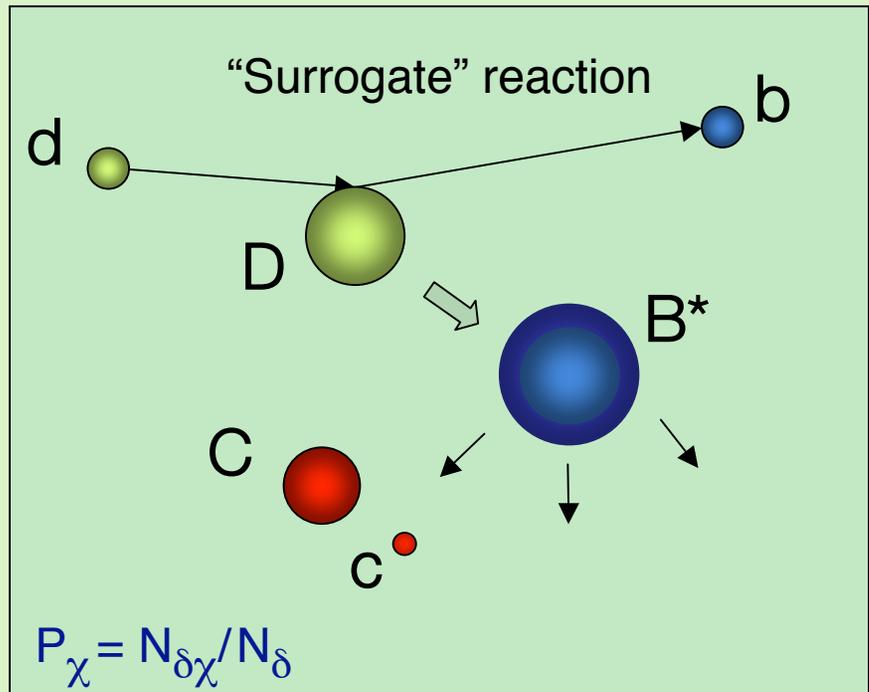
A Surrogate experiment gives

$$P_{\chi}(E) = \sum_{J,\pi} F_{\delta}^{\text{CN}}(E,J,\pi) \cdot G_{\chi}^{\text{CN}}(E,J,\pi)$$

I. Ideal procedure: calculate $F_{\delta}^{\text{CN}}(E,J,\pi)$, extract $G_{\chi}^{\text{CN}}(E,J,\pi)$, and insert into HF formula

II. Realistic: model CN decay, adjust parameters to reproduce measured $P_{\chi}(E)$, obtain G_{χ}^{CN}

III. Most common approach - approximations: assume (J,π) -independent G^{CN} and employ simplified formulae (“Weisskopf-Ewing” and “Surrogate Ratio” approaches)



Approximation schemes

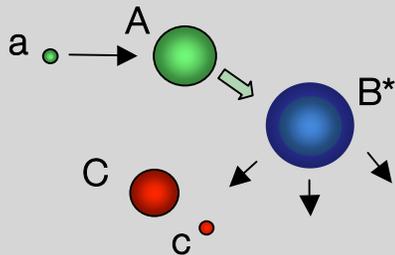
Weisskopf-Ewing approximation

Surrogate Ratio approach

The Weisskopf-Ewing limit

HF theory of the “desired” reaction:

$$\sigma_{\alpha\chi} = \sum_{J,\pi} \sigma_{\alpha}^{\text{CN}}(E,J,\pi) \cdot G_{\chi}^{\text{CN}}(E,J,\pi)$$



Weisskopf-Ewing description of the “desired” reaction:

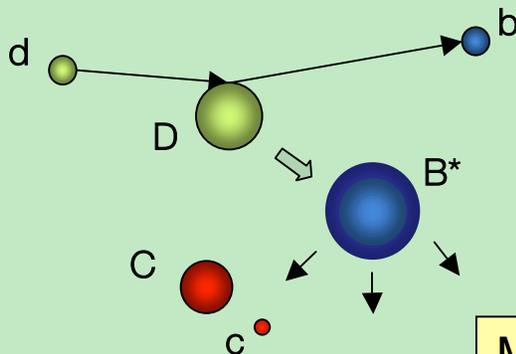
$$G_{\chi}^{\text{CN}}(E,J,\pi) \text{ -----} \rightarrow G_{\chi}^{\text{CN}}(E)$$

Thus:

$$\sigma_{\alpha\chi}^{\text{WE}}(E) = \sigma_{\alpha}^{\text{CN}}(E) \cdot G_{\chi}^{\text{CN}}(E)$$

HF expression for the “Surrogate” measurement :

$$P_{\chi}(E) = \sum_{J,\pi} F_{\delta}^{\text{CN}}(E,J,\pi) \cdot G_{\chi}^{\text{CN}}(E,J,\pi)$$



Weisskopf-Ewing expression for the “Surrogate” measurement:

$$\text{-----} \rightarrow P_{\chi}(E) = G_{\chi}^{\text{CN}}(E)$$

Cross section for the desired reaction:

$$\sigma_{\alpha\chi}^{\text{WE}}(E) = \underbrace{\sigma_{\alpha}^{\text{CN}}(E)}_{\text{calculated}} \cdot \underbrace{P_{\chi}(E)}_{=N_{\text{coinc}}/N_{\text{single}} \text{ measured}}$$

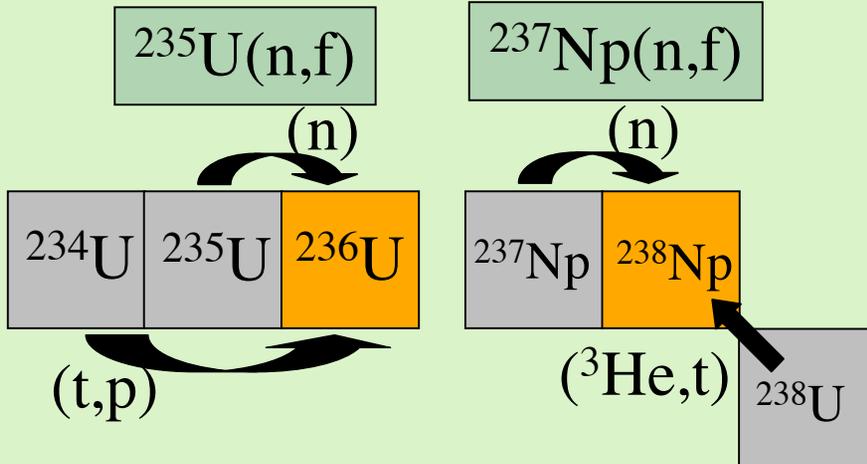
Most applications to date use the WE approximation!

Surrogate experiments analyzed in the WE approximation

Cramer and Britt, Nucl. Sci. Eng. **41** (1970) 177

Britt and Wilhelmy, Nucl. Sci. Eng. **72** (1979) 222

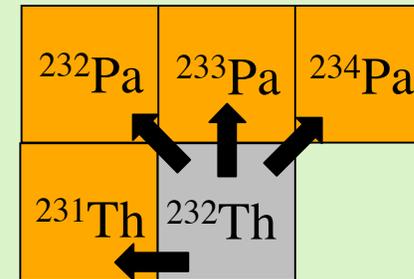
(n,f) cross section estimates for actinides based on Surrogate (t,p), (³He,d) and (³He,t) experiments



$$\sigma_{(n,f)}(E) = \sigma_{(n+A)}^{CN}(E) \cdot P_f(E) \quad \text{with } P_f = N_{\text{coinc}} / N_{\text{single}}$$

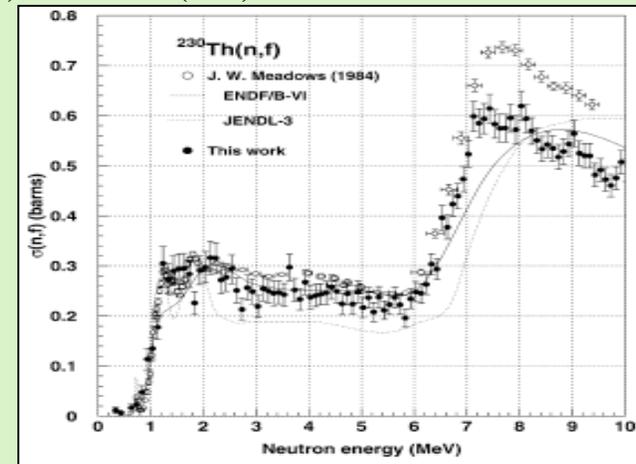
Petit et al., Nucl. Phys. A **735** (2004) 345

(n,f) cross sections for Th, Pa from Surrogate (³He,x) experiments (x=α,t,d,p)



$\sigma_{(n,f)}(E)$ is from a semi-microscopic optical-model

$$\sigma_{(n,f)}(E) = \sigma_{(n+A)}^{CN}(E) \cdot P_f(E)$$



Approximations justified *a posteriori* by comparison with direct measurements.

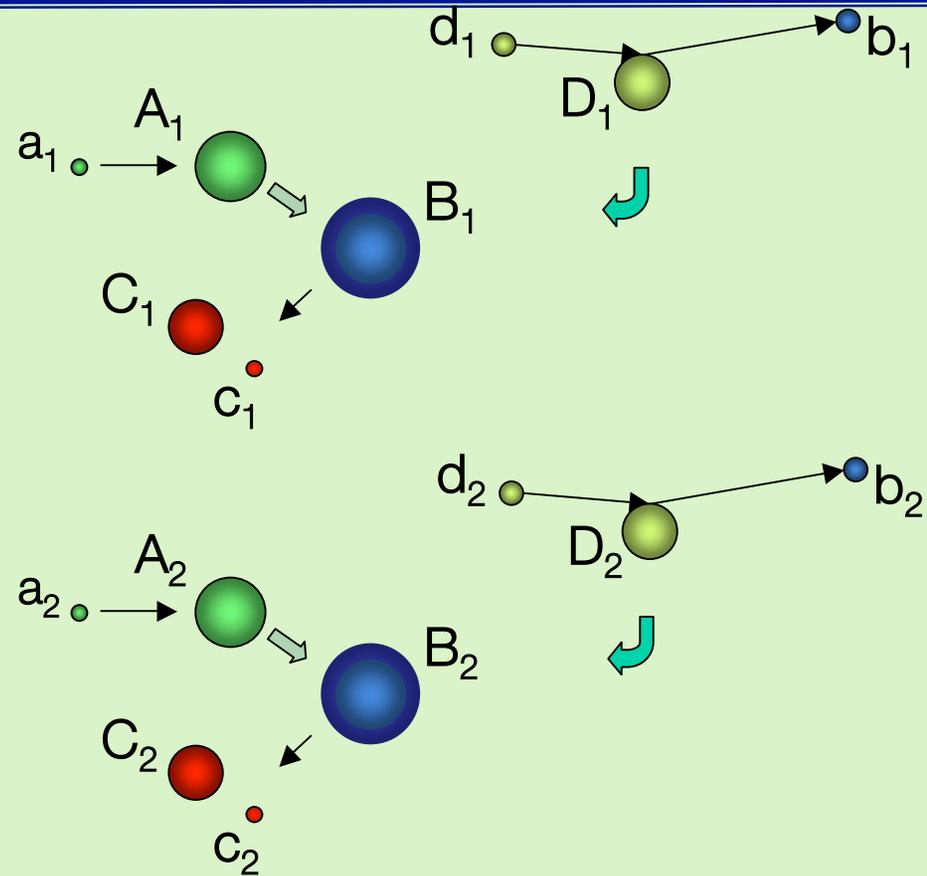
The Surrogate Ratio approach

Goal: Determine experimentally

$$R(E) = \frac{\sigma_{\alpha_1 x_1}(E)}{\sigma_{\alpha_2 x_2}(E)}$$

$$\xrightarrow{\text{WE}} \underbrace{\frac{\sigma_{\alpha_1}^{\text{CN}}(E)}{\sigma_{\alpha_2}^{\text{CN}}(E)}}_{\text{calculated}} \cdot \underbrace{\frac{G_{\chi_1}^{\text{CN}}(E)}{G_{\chi_2}^{\text{CN}}(E)}}_{\text{measured}}$$

$= N_{\delta_1 \chi_1} / N_{\delta_1}$
 $\times N_{\delta_2} / N_{\chi_2 \delta_2}$



Advantages of the Ratio approach:

- Eliminates need to measure direct-reaction “singles” events in $N_{\text{coinc}}/N_{\text{single}}$
- Small systematic errors or violations of assumptions underlying a Surrogate WE analysis might cancel

The Ratio approach has only been used recently!

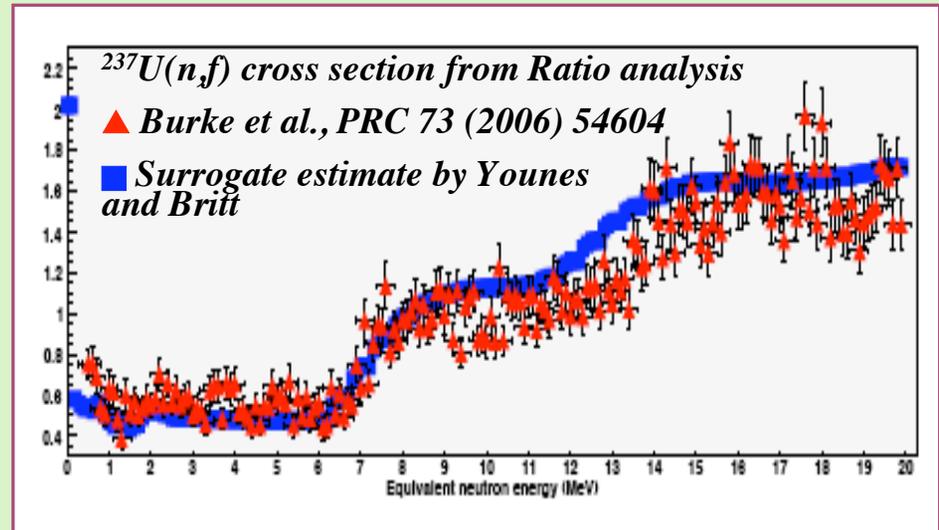
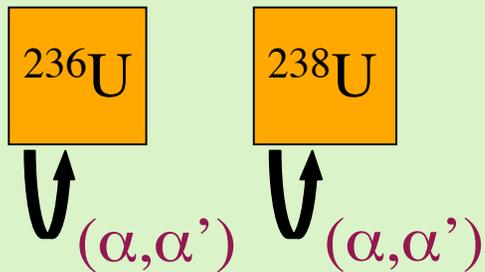
First results from the Surrogate Ratio approach

Plettner et al., PRC 71 (2005) 051602:

- (d,pf) and (d,d'f) on ^{238}U and ^{236}U

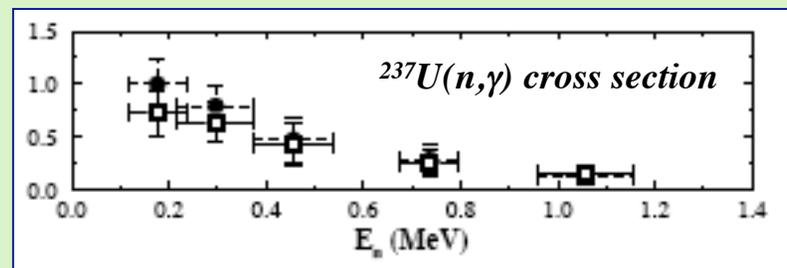
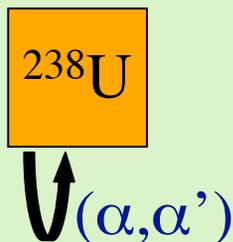
Burke et al., PRC 73 (2006) 054604:

- $(\alpha,\alpha'f)$ on ^{238}U and ^{236}U



Bernstein et al., submitted (2006):

- $(\alpha,\alpha'x)$ on ^{238}U , with $x=f,\gamma,2n$



Testing the assumptions

Validity of the Weisskopf-Ewing assumption:

- Are the decay probabilities independent of spin and parity?
- Does a Surrogate analysis in the WE approximation yield reliable results?

Validity of the Surrogate Ratio approach:

- Does a Ratio analysis yield reliable results?

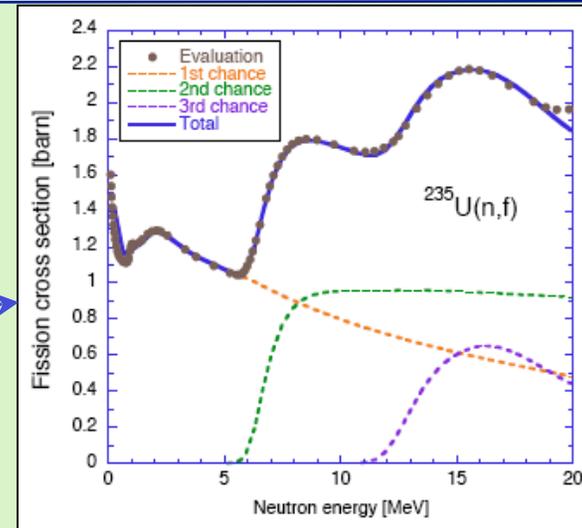
Testing the assumptions with a simulated experiment

J. Escher and F.S. Dietrich
 Phys. Rev. C 74 (2006) 054601

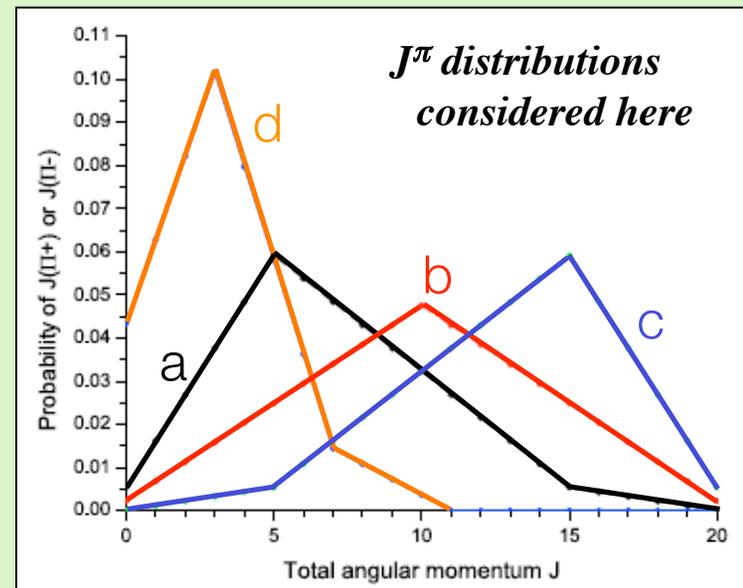
Simulation procedure:

1. Determine “reference cross sections” with a statistical-model calculation.
2. Extract fission probabilities for each (J, π) and study as function of E_n .
3. Simulate a Surrogate experiment and carry out an analysis in the WE limit.
4. Simulate two Surrogate experiments and carry out a Ratio analysis.

$$P_{\chi}(E) = \sum_{J, \pi} F_{\delta}^{CN}(E, J, \pi) \cdot G_{\chi}^{CN}(E, J, \pi)$$

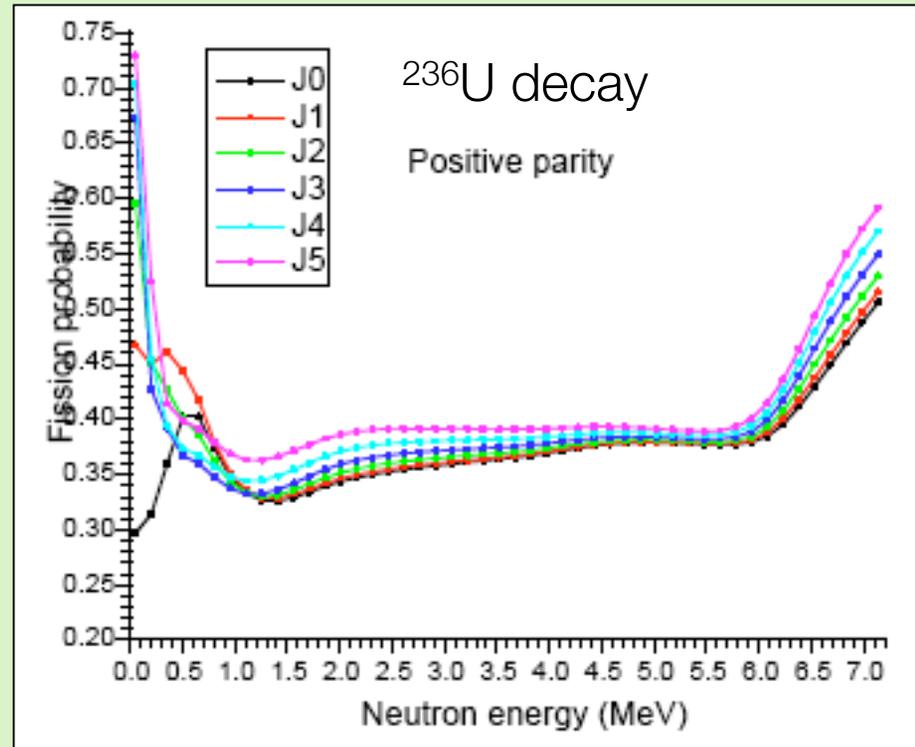
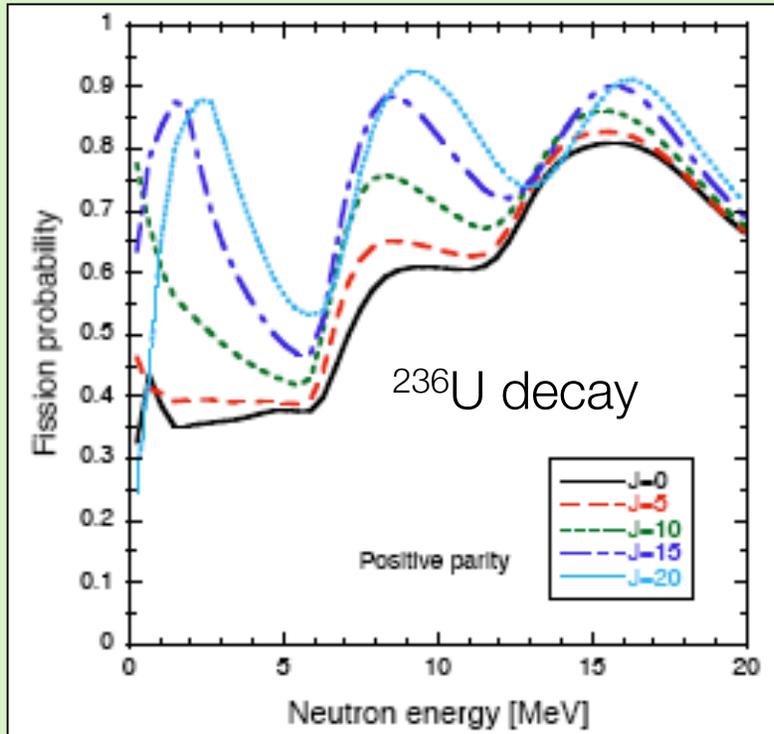


Fit to $n + {}^{235}\text{U}$ fission cross section



J^{π} distributions considered here

^{236}U fission probabilities' dependence on J^π

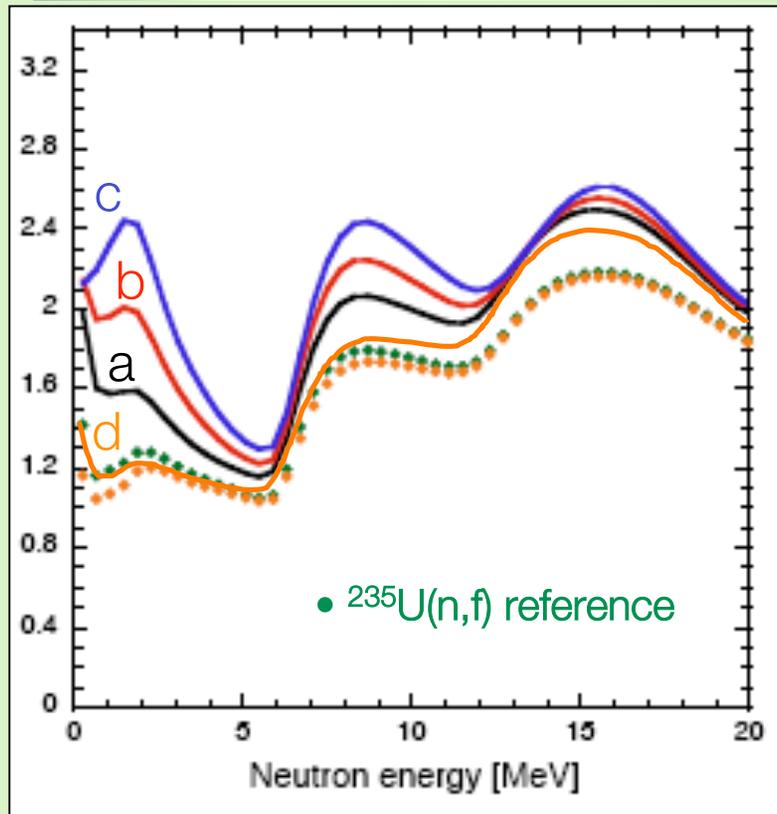


Observations:

- Fission probabilities show significant J^π dependence
- For small energies the WE approx is not valid
- Differences between fission probabilities increase at onset of 2nd chance fission
- Results depend little on parity (not shown)

It is not *a priori* obvious whether the WE limit applies to a particular reaction in a given energy regime. The validity of the WE approximation depends on the relevant J^π and E values.

(n,f) cross sections from our simulation



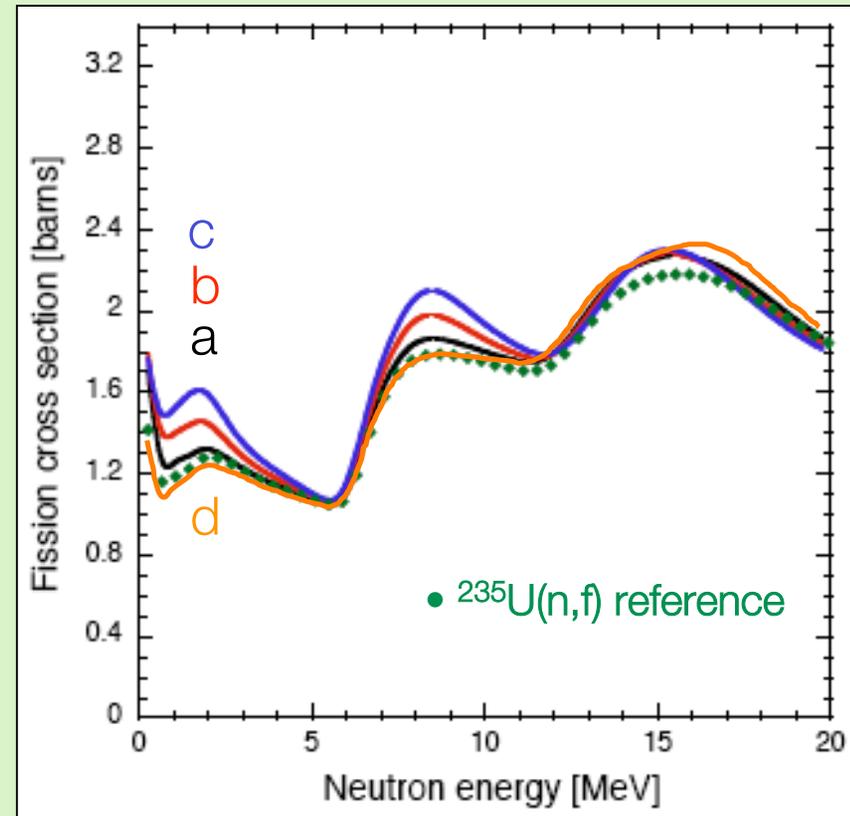
Results from Weisskopf-Ewing analysis

- Cross sections depend on the J^π distribution (WE limit not strictly valid)
- Largest uncertainties are below $E_n=3$ MeV and are due to J^π effects
- Deviations at higher energies are due to preequilibrium effects.

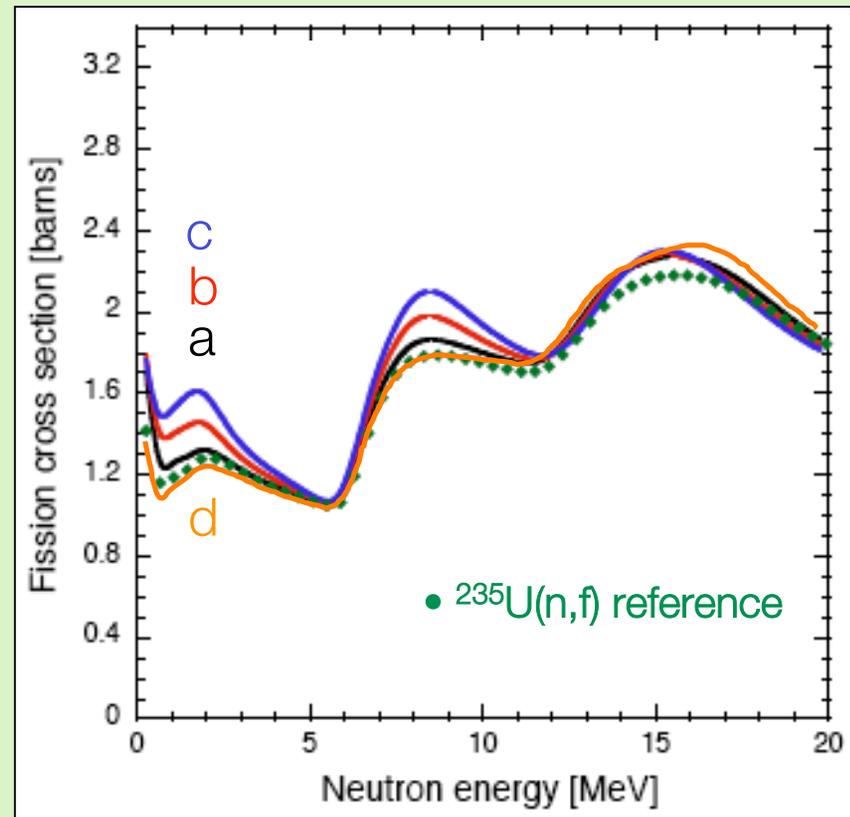
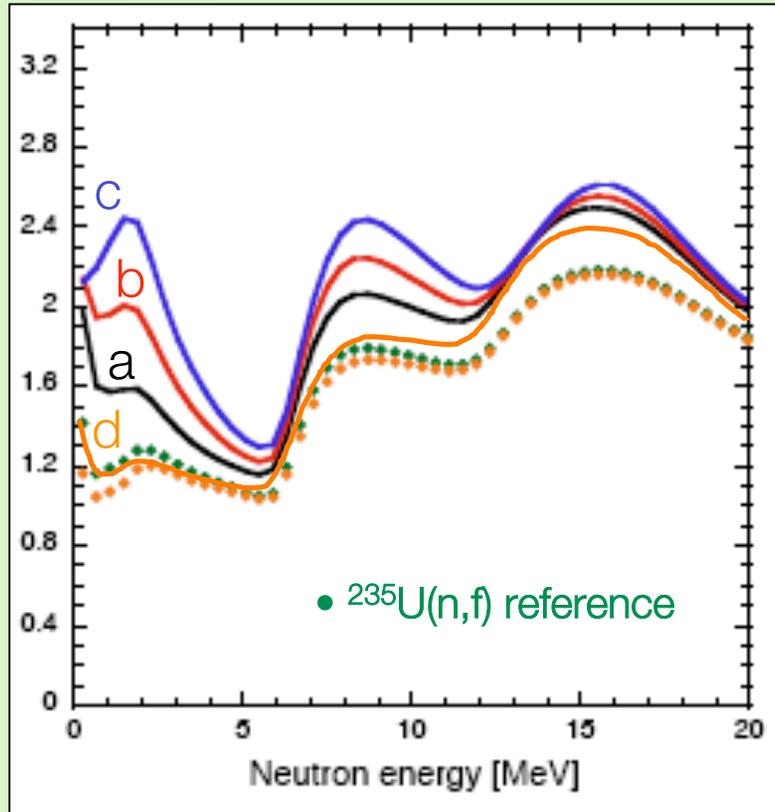
(n,f) cross sections from our simulation

Results from Ratio analysis

- Cross sections show some dependence on J^π
- Agreement with expected cross section is very good (except for small energies and at 2nd-chance fission)
- Overall....



(n,f) cross sections from our simulation



Results from Weisskopf-Ewing analysis

- Cross sections depend on the J^π distribution (WE limit not strictly valid)
- Largest uncertainties are below $E_n=3$ MeV and are due to J^π effects
- Deviations at higher energies are due to preequilibrium effects.

Results from Ratio analysis

- Cross sections show some dependence on J^π
- Agreement with expected cross section is very good (except for small energies and at 2nd-chance fission)
- **Less J^π dependence and better agreement than for the Surrogate WE approach**

Knowledge of J^π is important!

J. Escher, LLNL

The importance of spin

or: the need to move beyond current approximations

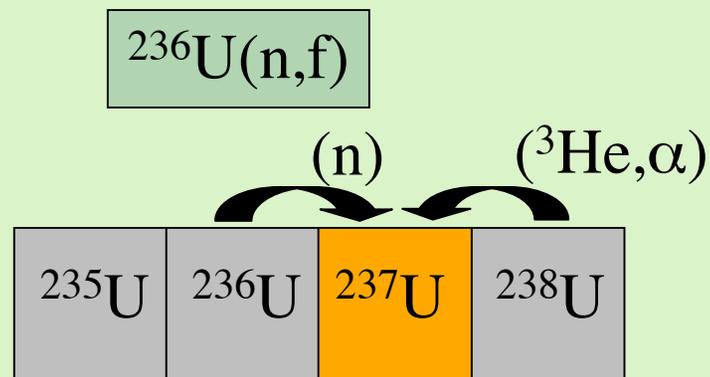
Angular-momentum effects at low energies

B. Lyles et al.

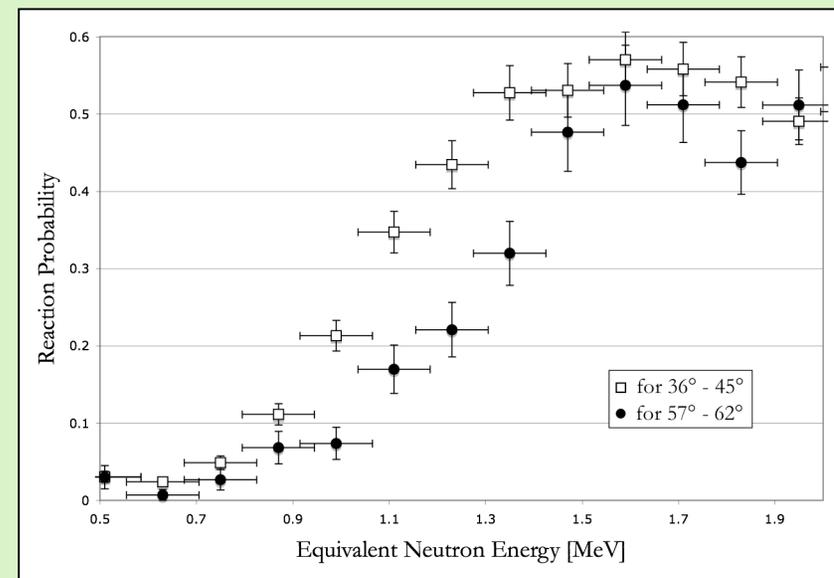
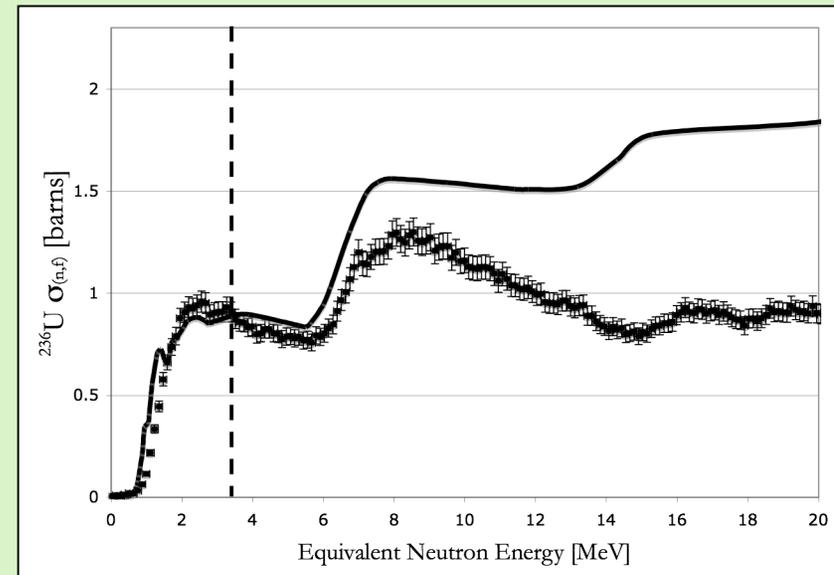
PRC 76 (2007) 014606

Surrogate ($^3\text{He},\alpha$) experiments at LBNL:

- Determined the $^{236}\text{U}(n,f)$ cross section using the WE approximation
- Good agreement up to 3-4 MeV
- Deviations at higher energies due to target impurities
- Angular-momentum effects discernable at small energies



Angular-momentum mismatch between Surrogate and desired reactions affects low-energy regime.



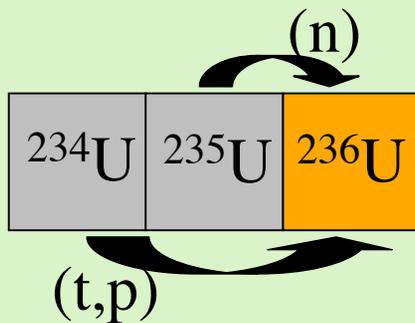
Knowledge of the CN J^π populations is important!

Younes and Britt

Phys. Rev. C **67** (2003) 024610, **68** (2003) 034610

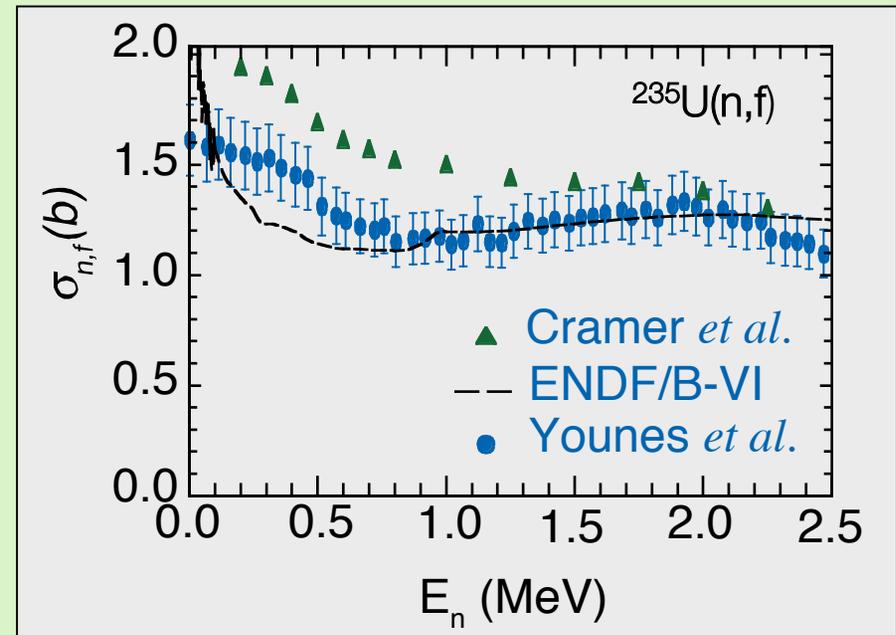
Re-analysis of (t,pf) data from the 1970s:

- Incorporated effects of J^π population differences
- Better optical model
- Fit model to experimental fission probabilities
- Added renormalization procedure to improve fit



Need information on CN J^π populations

- To improve extracted cross sections
- To test validity of approximations used
- To extend the method to lower energies



Improved agreement with the evaluated result!

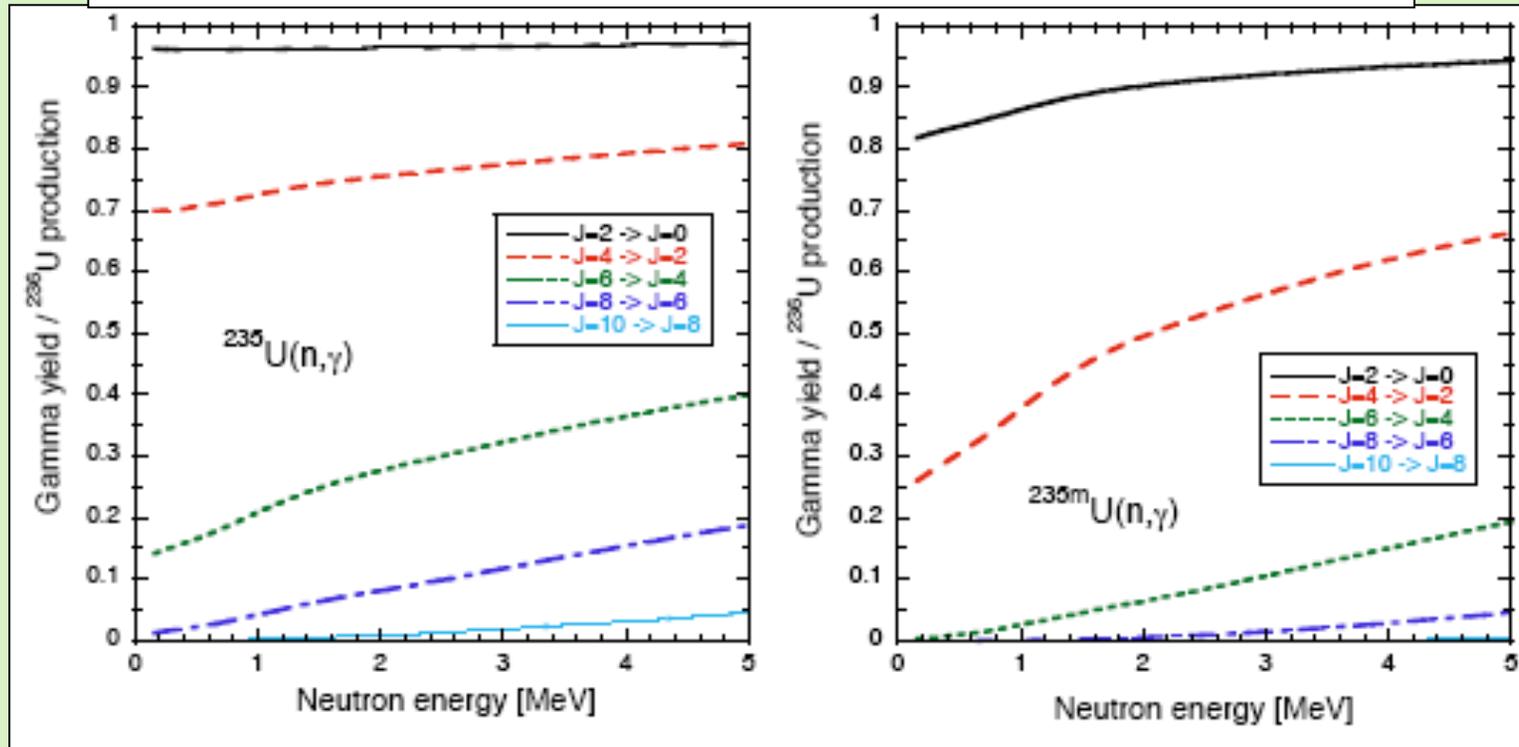
Surrogate approach for (n,γ) ?

Actinide targets

Mass-90 targets

Considering (n, γ) reactions for actinides

A look at the γ yields for ^{236}U decay with different J^π populations



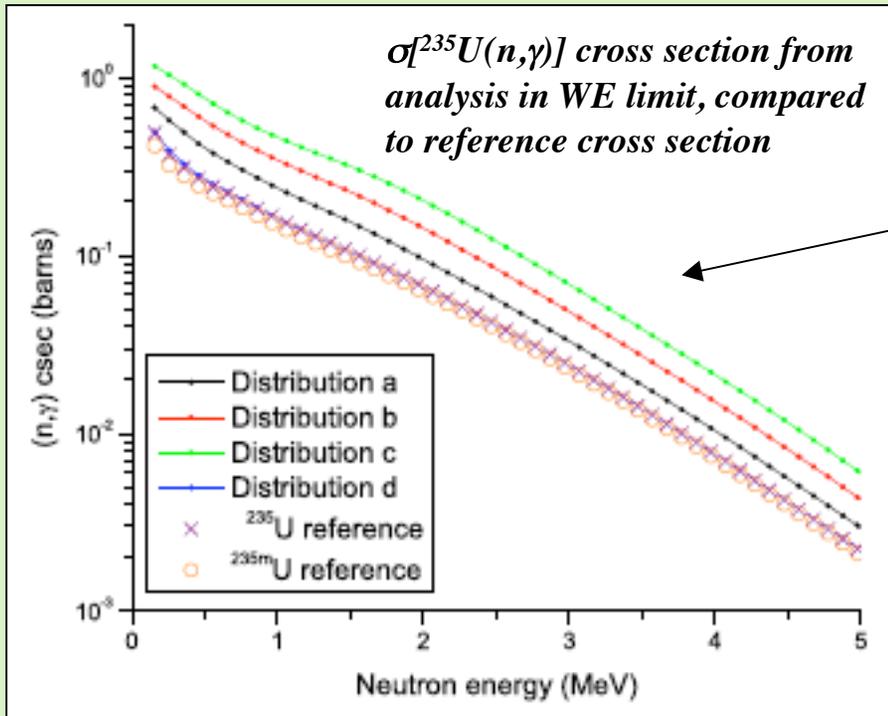
Observation: Relative γ -ray intensities depend sensitively on J^π distribution of the decaying compound nucleus.

Relative γ -ray intensities as function of E for $n+^{235m}\text{U}$ and $n+^{235}\text{U}$

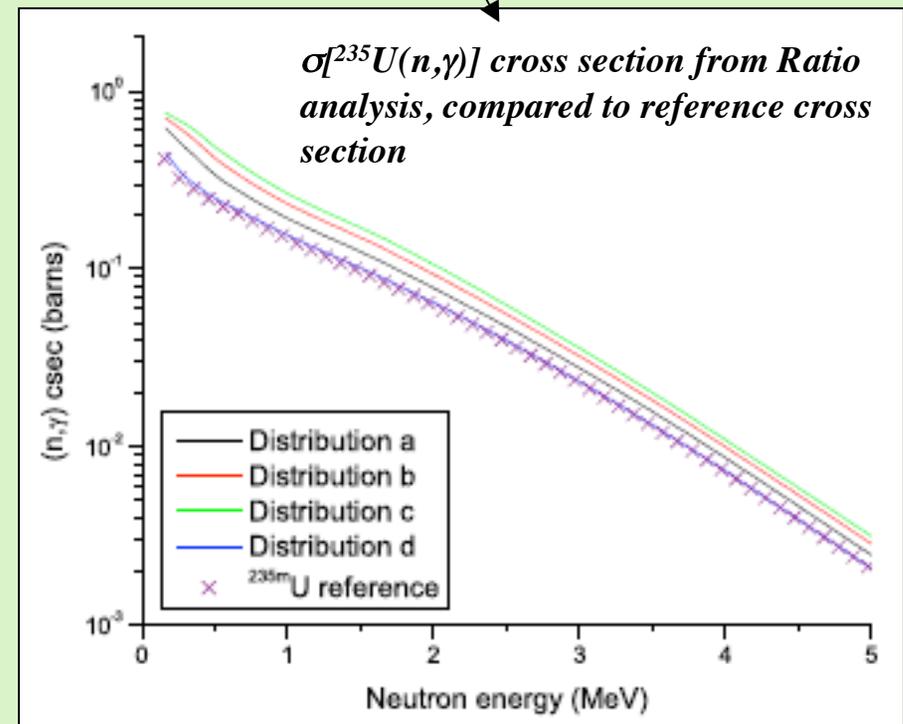
Considering (n, γ) reactions for actinides

Simulating Surrogate experiments for (n, γ)

- Goal: Examine reliability of cross sections determined via Surrogate approach(es)
- Specifically: Study dependence of extracted cross sections on J^π population of CN
- Surrogate WE cases studied: $^{235}\text{U}(n,\gamma)$ and $^{233}\text{U}(n,\gamma)$
- Surrogate Ratio case studied: $^{235}\text{U}(n,\gamma)$ from $^{233}\text{U}(n,\gamma)$
- Same procedures as before

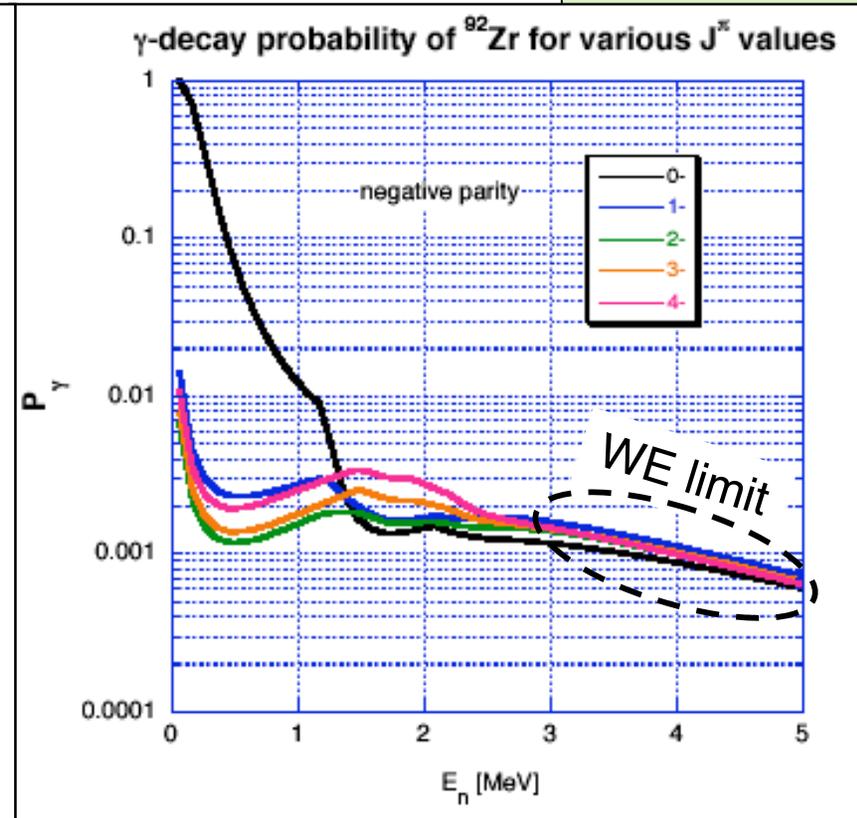
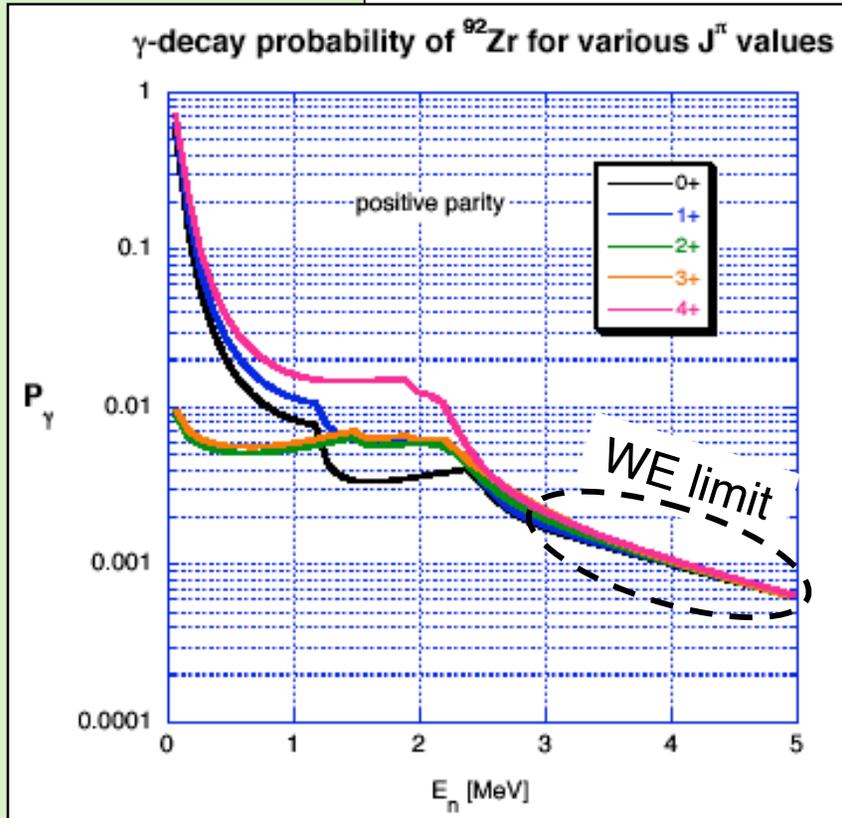


The Surrogate approach might work for (n, γ) cross sections, but knowledge of J^π is crucial!



(n, γ) reactions for near-spherical nuclei - a stretch?

Branching ratios for ^{92}Zr decay for various J^π values



Shown is the probability (P_γ) that a ^{92}Zr state with excitation energy $E=S_n+E_n$ and given J^π value decays via γ -emission. S_n is the neutron separation energy in ^{92}Zr .

Forssten et al., PRC 75(2007) 055807

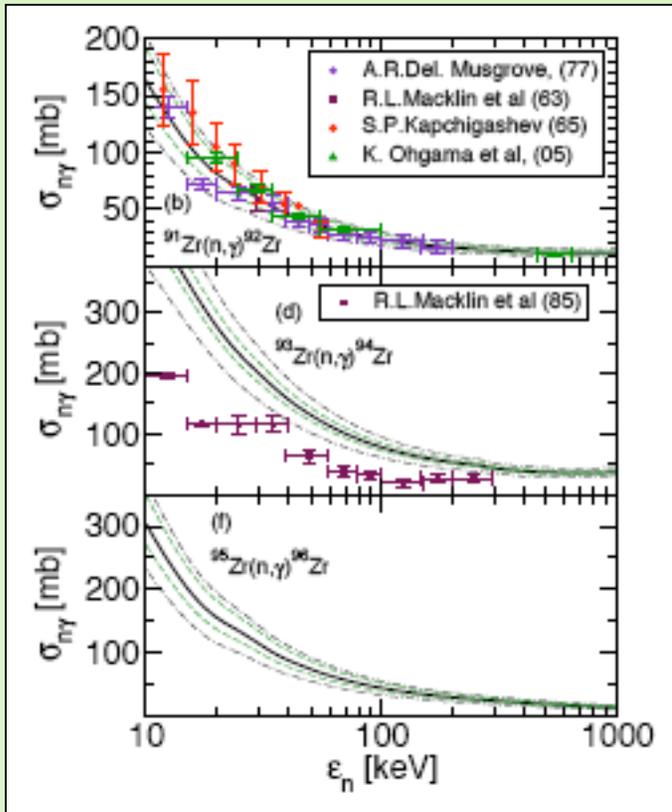
At small energies, the branching ratios are VERY sensitive to CN J^π values!

Worst-case scenario!

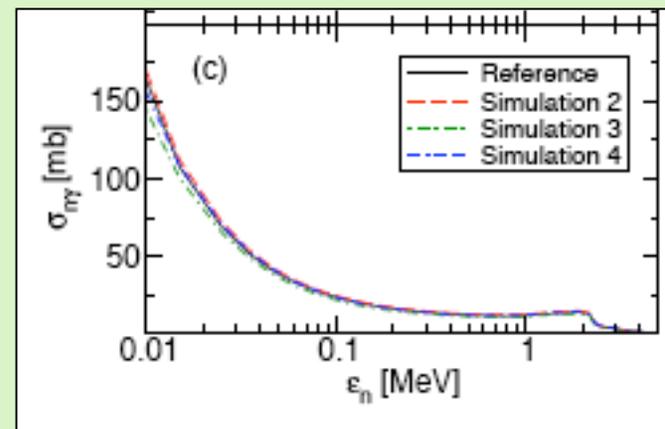
Considering (n, γ) reactions for near-spherical nuclei

Non-negligible uncertainties in calculated cross sections:

Forssen et al.
Phys. Rev. C 75 (2007) 055807



Information from Surrogate experiments at higher neutron energy can constrain calculations:



Surrogate experiments may help constrain models at higher energies and improve calculations in the desired energy range - **even for very challenging cases!**

Challenges for theory

Primarily related to predicting
 J^π distribution for decaying CN

Challenges for reaction theory

Formation of a highly excited nucleus in a direct reaction

- inelastic scattering, pickup, stripping reactions
- various projectile-target combinations
- resonances, quasi-bound states

Damping of the excited states into a compound nucleus

- competition between CN formation and non-equilibrium decay (particle escape)
- dependence on J^π

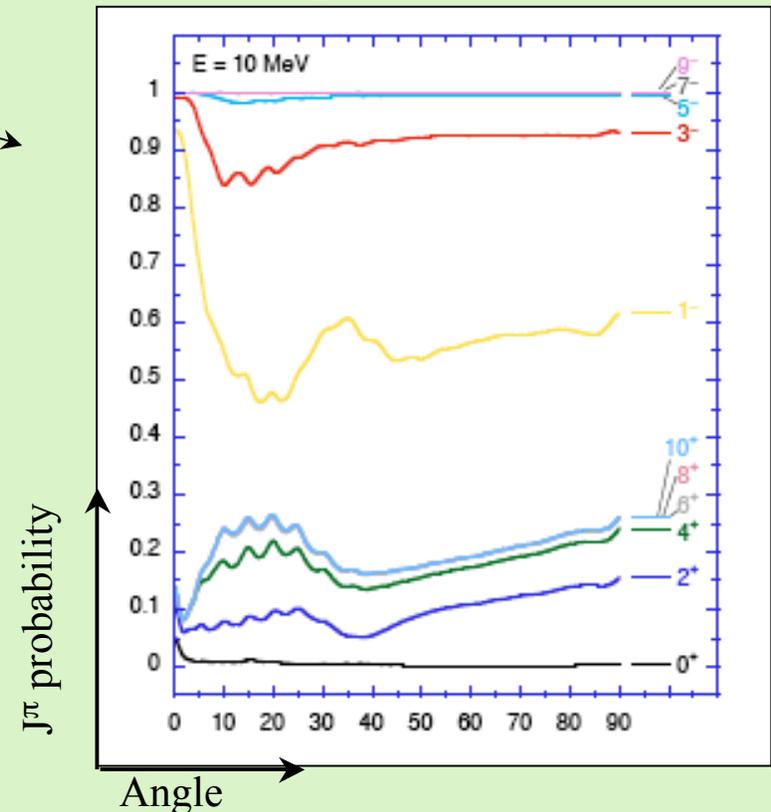
See F.S. Dietrich's talk on Wed

Width fluctuation correlations

Kerman and McVoy (1979)

See G. Arbanas' talk on Wed

J^π distribution for $^{90}\text{Zr}(\alpha, \alpha')^{90}\text{Zr}^*$ from a simple model



Summary

The Surrogate nuclear reaction approach is potentially very valuable. It is the only indirect method for obtaining CN reaction cross sections.

Various approximations to the full Surrogate approach (Weisskopf-Ewing approximation, Surrogate Ratio method) show promising results for (n,f) cross sections for actinides.

Limitations of the method primarily related to differences in the CN spin distributions of the desired and Surrogate reactions.

Challenge to theory: Description of the formation of a CN following a direct reaction.